



INITIATION PLAN
FOR A GEF PROJECT PREPARATION GRANT (PPG) OR
PROGRAMME COORDINATION BUDGET (PCB)

Country: Bangladesh

Relevant UNDAF Outcome(s) and Indicator(s): Outcome 2 of UNDAF Pillar 5 – “By 2016, vulnerable populations benefit from better natural resource management and access to low carbon energy”

Outcome indicators: Number of new government policies, strategies or plans formulated in support of sustainable use of natural resources

Relevant CP/CPAP Outcome(s) and Indicator (s):

Country programme/UNDAF Outcome 3.2: By 2016, vulnerable population benefit from better natural resource management and access to low carbon energy

Outcome indicators: Number of new government policies, strategies or plans formulated in support of sustainable use of natural resources

Relevant UNDP Strategic Plan 2008-2011 Key Result Area: Environment and sustainable development

Expected Project Outcome (s) and Indicator (s): (i) Global environmental conventions mainstreamed into vocation training and re-training structures for public institutions in Bangladesh, (ii) Global environmental conventions mainstreamed into human resources development systems for sustainable development practitioners and (iii) Improved multi-sectoral environmental policies and programmes, and associated governance structures

Project Output(s) and Indicator(s): (i) A consortium of the leading national training institutes in the public sector, (ii) Training curricula and programme developed for training of trainers, (iii) Enhanced capacity of key staff throughout public institutions through training, (iv) Training materials related to implementing the Rio Conventions developed and disseminated, (v) Technical capacity related to global environment conventions for practitioners, (vi) Generation of new knowledge by monitoring results from local initiatives, (vii) Improved capacity to monitor natural resources management, and prepare results and documentation and (viii) Formalized guidance addressing policy preparation and management approaches.

Programme Period: 2013 - 2018
Programme Component: Environment and Energy
PPG Title: National Capacity Development for Implementing Rio Conventions through Environmental Governance
ATLAS Proposal/Award ID: 00073038
ATLAS Project ID: 00085997
PIMS Project ID: 4884
Duration: 20 March 2013 – 31 August 2013
Management Arrangement: DIM

Total budget:	USD 49,000
Allocated resources:	
• GEF	USD 25,000
• Co-financing (kind)	USD 24,000

AGREED BY UNDP RESIDENT REPRESENTATIVE / UNDP DIRECTOR:

Robert Juhkam
Country Director a.i.

Signature

Date: April 18, 2013

Brief Description of Initiation Plan

Bangladesh is a ratifying party to all the three Rio Conventions (RCs), namely United Nations Framework Convention on Climate Change (UNFCCC), Convention on Biological Diversity (CBD) and the United Nations Convention to Combat Desertification (UNCCD), along with other Multilateral Environmental Agreements (MEAs). The Rio Conventions and their protocols collectively set the overall context for Bangladesh's global environmental management, as the natural resource management regime is guided by these key global documents, translated as national level policies, strategies and plans. However, the country's capacity is still limited, along with the institutional and human resources, to implement the MEAs and national policy instruments effectively. There is a need for the local level and national institutions and researchers to further understand and internalize the issues of climate change, biodiversity conservation and land degradation. In order to better understand the dynamics behind the threats to Bangladesh's environment vis-à-vis global environment, the Government of Bangladesh (GoB) completed a GEF/UNDP supported National Capacity Self-Assessment (NCSA) Project. Through a broad-based consultative and participatory process, the Bangladesh NCSA identified the salient features of obligations under RCs, priority environmental issues, capacity development needs, either common to or cutting across the climate change, biodiversity and land degradation thematic areas. Based on this analysis, the GoB has developed a Capacity Development Action Plan (CDAP) to address these prioritized issues and capacity needs to fulfill Bangladesh's responsibilities and commitments toward implementing the Rio Conventions. Specifically, the CDAP identified six priorities for cross-cutting capacity development. This proposed project responds to the first and fourth priorities, i.e.: (1st) *Trained and skilled manpower for sustainable environmental governance in place* and (4th) *Promotion of education, training and public awareness*. Moreover, the CDAP strongly recommends an approach to capacity development through existing national training institutes, this project implements that recommended approach.

GEF PPG/PCB approved grant document and TBWP



PROJECT PREPARATION GRANT (PPG)

PROJECT TYPE: Medium-sized Project

TYPE OF TRUST FUND: GEF Trust Fund

Submission date: 08/28/2012

Re-submission date: 11/02/2012, 01/22/2013

GEF PROJECT ID: 5106

GEF AGENCY PROJECT ID: 4884

COUNTRY(IES): Bangladesh

PROJECT TITLE: National Capacity Development For Implementing Rio Conventions Through Environmental Governance

GEF AGENCY(IES): UNDP, (select), (select)

GEF FOCAL AREA(S): MULTI FOCAL AREA

A. PROJECT PREPARATION TIMEFRAME

Start date of PPG	02/01/2013
Completion date of PPG	08/01/2013

B. PROPOSED PROJECT PREPARATION ACTIVITIES (\$)

Describe the PPG activities and justifications: The PPG will cover the following preparatory activities:

1. Baseline Studies and Strategy Development:

A) Mainstreaming global environmental conventions into the vocational training and re-training structures for public institutions in Bangladesh:

- prepare institutional map of all institutions capable of providing training for public institutions on the Rio Conventions;
- assess existing curricula and human resources for delivering training on the Rio Conventions, including mainstreaming into the training of other sectors;
- design detailed project strategy for mainstreaming global environmental conventions into vocational training and re-training structures in Bangladesh.

B) Mainstreaming global environmental conventions into human resources development systems for grassroots sustainable development practitioners:

- identify sustainable development practitioners - at the grassroots level - and assess their approach to the global environment conventions;
- identify entry points for developing the capacity of sustainable development practitioners;
- design detailed project strategy for mainstreaming global environment conventions into activities of sustainable development practitioners through training and capacity development.

C) Improved multi-sectoral environmental policies and programmes, and associated governance structures:

- identify the related ongoing interventions in the country;

- for these ongoing interventions, assess the M&E and knowledge management mechanisms;
- propose a mechanism for capturing all lessons from ongoing related interventions;
- design detailed project strategy to develop a system for capturing lessons from projects and programmes so the lessons can contribute to policy development and governance structures.

2. Determination of partnerships, linkages and synergies:

- Through detailed discussion and dialogue, establish clear linkages between this project and the workprogramme of the Department of Environment, particularly with its efforts to coordinate implementation of the Rio Conventions;
- Determine the linkages between and amongst the project partner agencies, notably between the IUCN and the many involved local NGOs and CBOs;
- Develop close working synergies with the Bangladesh Green Development Programme (BGDP) (note: the BGDP is the UN's key vehicle for contributing to the Bangladesh Climate Change Strategic Action Plan).

3. Secure government commitment to project strategy and to project implementation arrangements:

Make contact with key agencies. Negotiate with key government representatives to ensure full agreement on the project objectives, outcomes, outputs, and activities, including partnerships for support and government co-financing. Undertake consultations with key government representatives to secure agreement on project implementation arrangements, including roles, responsibilities, and accountabilities of lead and partner agencies.

4. Explore, and if feasible, secure bilateral and multilateral financing opportunities:

Explore potential for partnerships with bilateral and multilateral donor agencies and organizations. Negotiate partnerships with on-going projects to re-align their activities to meet project objectives. If appropriate, finalize co-financing agreements. Determine linkages with ongoing and planned projects, including the soon-to-start Bangladesh Green Development Programme.

5. Determine baseline value for the pertinent UNDP/GEF CD Indicators.

6. Define Monitoring and Evaluation (M&E) Plan:

Define a detailed M&E work plan and delivery strategy, including clear identification of responsibilities and accountabilities, as well as an appropriate budget allocation (based on best practices and UNDP guidelines). The Plan will reflect the requirements of the GEF M&E Policy and Capacity Building M&E framework. The Plan will also include provisions for an independent evaluation and provision to ensure the learning of lessons from implementation at both the mid-point and termination of the project.

M&E will be based on the Capacity Development indicators and the Capacity Development Scorecard (GEF Tracking Tool) prepared by UNDP and GEF and UNEP. The baseline and targets will be determined during this PPG phase.

7. Define Sustainability Plan:

The Sustainability Plan will outline an exit strategy, leading to the continuation of concerned activities without the need of long-term international financing.

8. Validation workshop

The workshop will gather representatives from all relevant stakeholders to present, discuss and validate the final draft proposal.

The final product of the proposed PPG phase will be a UNDP/GEF compliant medium-sized project document for submission to UNDP and GEF, including the following:

- A detailed work plan with detailed roles and responsibilities for project implementation;
- A targeted, in-depth analysis of the baseline situation and needs;
- An updated report on the partnership and co-financing opportunities for the proposed project;
- Explicit specification of all capacity building activities to be financed under the project and the rationale of their additionality to meeting Rio Convention objectives;
- A detailed deconstruction of the project strategy using the Logical Framework Approach (log frame);
- A detailed monitoring and evaluation plan for the project that builds upon the log frame;
- A stakeholder participation plan;
- Endorsement letters from the government and letters confirming co-financing commitments;
- Medium-Size Project document per GEF and UNDP formatting and submission requirements;
- Terms of Reference for key project staff, project management committee and key consultants.

List of Proposed Project Preparation Activities	Output of the PPG Activities	Trust Fund	Grant Amount (a)	Co-financing (b)	Total c = a + b
Baseline studies/strategy development 1A	Study report	GEF TF	7,000	3,000	10,000
Baseline studies/strategy development 1B	Study report	GEF TF	7,000	3,000	10,000
Baseline studies/strategy development 1C	Study report	GEF TF	5,000	3,000	8,000
Determine partnerships and linkages	Details of linkages and partnerships	GEF TF	1,000	1,000	2,000
Secure Government commitment	Endorsement letters	GEF TF	0	1,000	1,000
Secure co-financing	Letters of co-financing	GEF TF	0	1,000	1,000
Prepare proposal, including sustainability plan, M&E plan, stakeholder plan	Project document	GEF TF	5,000	4,000	9,000
Validation workshop	Stakeholder validation	GEF TF	0	8,000	8,000
		(select)			0
		(select)			0

	(select)			0
	(select)			0
Total Project Preparation Financing		25,000	24,000	49,000

C. FINANCING PLAN SUMMARY FOR PROJECT PREPARATION GRANT: (\$)

	Project Preparation	Agency Fee
Grant Amount	25,000	2,375
Co-financing	24,000	
Total	49,000	2,375

D. PPG AMOUNT REQUESTED BY AGENCY(IES), FOCAL AREA(S) AND COUNTRY(IES)¹

Trust Fund	GEF Agency	Focal Area	Country Name/ Global	(in \$)		
				PPG (a)	Agency Fee (b)	Total c = a + b
GEF TF	UNDP	MULTI FOCAL AREA	Bangladesh	25,000	2,375	27,375
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
Total PPG Amount				25,000	2,375	27,375

¹ No need to provide information for this table if it is a single focal area, single country and single GEF Agency project.


E. PPG BUDGET

Cost Items	Total Estimated Person Weeks for Grant (PW)	Grant Amount (\$)	Co-financing (\$)	Total(\$)
Local consultants *	20.5	11,000	9,500	20,500
International consultants*	5.00	10,000	0	10,000
Travel		2,500	1,500	4,000
Workshop		0	8,000	8,000
Other		1,500	5,000	6,500
				0
Total PPG Budget		25,000	24,000	49,000

* Annex A for Consultant cost details should be prepared first before completing this table. See notes on Annex A for the required detailed information. This table is the sum of all local and international consultants presented in Annex A.

F. GEF AGENCY(IES) CERTIFICATION

This request has been prepared in accordance with GEF policies and procedures and meets the GEF LDCF/SCCF/NPIF Trust Fund criteria for project identification and preparation.

Agency Coordinator, Agency Name	Signature	Date (Month, day, year)	Project Contact Person	Telephone	Email Address
Yannick Glemarec Executive Coordinator UNDP/GEF		January, 22, 2013	Tom Twining- Ward UNDP Green- LECRDS	+421 2 59337 386	Tom.twining- ward@undp.org

Annex A

Consultants Financed by the Project Preparation Grant (PPG)

Type of Consultant	Position / Titles	\$/ Person Week ¹	Estimated PWS ²	Tasks to be Performed
Local	Environmental Institutions / Curriculum Development Expert	500	3.50	<ul style="list-style-type: none"> - Prepare institutional map of all institutions capable of providing training for public institutions on the Rio Conventions; - assess existing curricula and human resources for delivering training on the Rio Conventions, including mainstreaming into the training of other sectors; - design detailed project strategy for mainstreaming global environmental conventions into vocational training and re-training structures in Bangladesh; - contribute to drafting the project design and GEF submission documents.
Local	Decentralised Environmental Management Expert	500	3.50	<ul style="list-style-type: none"> - Identify sustainable development practitioners - at the grassroots level - and assess their approach to the global environment conventions; - identify entry points for developing the capacity of sustainable development practitioners; - design detailed project strategy for mainstreaming global environment conventions into activities of sustainable development practitioners through training and capacity development - contribute to drafting the project design and GEF submission documents.
Local	Expert On Lesson Learning And Knowledge Management	500	4.00	<ul style="list-style-type: none"> - Identify the related ongoing interventions in the country; - for these ongoing interventions, assess the M&E and knowledge management mechanisms; - propose a mechanism for capturing all lessons from ongoing related interventions; - design detailed project strategy to develop a system for capturing lessons from projects and programmes so the lessons can contribute to policy development and governance structures. - contribute to drafting the project

				design and GEF submission documents.
Local	Project Coordinator	500	9.50	<ul style="list-style-type: none"> - Coordinate with the international consultant (IC), UNDP and national counterparts on setting PPG implementation strategy. - Facilitate necessary project stakeholder workshops and meetings. - Assist the IC in communication with local authorities and decision-makers and support the IC in the process of disseminating results; - 2. Determine partnerships, linkages and synergies: (i) clear linkages between project and Department of Environment workprogramme (ii) linkages between IUCN and the local NGOs and CBOs (iii) project's working synergies with BGDG. - Assume main responsibility for discussions with potential partners and co-financers, and for obtaining co-financing and endorsement letters; - Secure government commitment to project strategy - Secure government commitment to project implementation arrangements; - Take the lead in preparing the project Sustainability Plan;. - Assume main responsibility for finalizing the project document, with significant input from the international consultant.
International	Expert On Capacity Development And Global Environment	2000	5.00	<ul style="list-style-type: none"> - Work together with the local consultants (LC), UNDP and national counterparts on setting PPG implementation strategy; - Finalize PPG work plan, TOR for LCs, and design consultation and validation workshops. - Support LC as they undertake background studies, providing guidance and support; - Oversee completion of background studies, ensuring they are appropriate in scope and provide required analysis; - Conduct meetings with national decision-makers to identify priorities and approaches; - Facilitate and guide consultations to determine project strategy, priorities, key partners, and key barriers to success; - Provide technical and professional support to the LC and national

				stakeholders. - Develop the project strategy; detailed budget, work plan and logical framework, develop a replication strategy. - Support the LC in finalizing the project document.
(Select)				
(Select)				
(Select)				

¹ Provide dollar amount per person week.

² Provide person weeks needed to carry out the task

Total Budget and Work Plan

Award ID:	00073038
Award Title:	National Capacity Development for Implementing Rio Conve
Business Unit:	BGD
Project Title:	National Capacity Development
Project ID:	00085997
PIMS No.	5106
Implementing Partner (Executing Agency)	UNDP

GEF Outcome/Atlas Activity	Responsible Party/ Implementing Agent	Fund ID	Donor Name	Atlas Budgetary Account Code	ATLAS Budget Description	Amount Year 1 (USD)
OUTCOME 1: Project Preparation	UNDP	62000	GEF Trustee	71300	Local Consultant	11,000
				71200	International Consultant	10,000
				71600	Travel	2,500
				75700	Workshop	1,500
					Total Outcome 1	25,000
TOTAL						25,000

Summary of Funds:¹

GEF			\$ 25,000
Government - in kind			\$ 24,000
TOTAL			\$ 49,000

¹ Summary table should include all financing of all kinds: GEF financing, co-financing, cash, in-kind, etc. etc